

Research Triangle Chapter (#059)

Information Systems Audit & Control Association



Chapter History

June 1985 - July 2012



TABLE OF CONTENTS

	<u>Page</u>
History.....	3
Background.....	4
Chapter Years:	
1. 1985 – 1986	4
2. 1986 – 1987	5
3. 1987 – 1988	5
4. 1988 – 1989	6
5. 1989 – 1990	6
6. 1990 – 1991	7
7. 1991 – 1992	7
8. 1992 – 1993	8
9. 1993 – 1994	9
10. 1994 – 1995	10
11. 1995 – 1996	11
12. 1996 – 1997	12
13. 1997 – 1998	13
14. 1998 – 1999	14
15. 1999 – 2000	15
16. 2000 – 2001	16
17. 2001 – 2002	17
18. 2002 – 2003	18
19. 2003 – 2004	20
20. 2004 – 2005	21
21. 2005 – 2006	22
22. 2006 – 2007	23
23. 2007 – 2008	24
24. 2008 – 2009	25
25. 2009 – 2010	27
26. 2010 – 2011	29
27. 2011 – 2012	31
28. 2012 – 2013	33

History

ISACA was incorporated by individuals who recognized a need for a centralized source of information and guidance in the growing field of auditing controls for computer systems. Today, ISACA has more than 95,000 members worldwide.

Overview

ISACA got its start in 1967, when a small group of individuals with similar jobs—auditing controls in the computer systems that were becoming increasingly critical to the operations of their organizations—sat down to discuss the need for a centralized source of information and guidance in the field. In 1969, the group formalized, incorporating as the EDP Auditors Association. In 1976 the association formed an education foundation to undertake large-scale research efforts to expand the knowledge and value of the IT governance and control field. **Previously known as the Information Systems Audit and Control Association**, ISACA now goes by its acronym only, to reflect the broad range of IT governance professionals it serves.

Today, ISACA's membership—more than 95,000 strong worldwide—is characterized by its diversity. Members live and work in more than 160 countries and cover a variety of professional IT-related positions—to name just a few, IS auditor, consultant, educator, IS security professional, regulator, chief information officer and internal auditor. Some are new to the field, others are at middle management levels and still others are in the most senior ranks. They work in nearly all industry categories, including financial and banking, public accounting, government and the public sector, utilities and manufacturing. This diversity enables members to learn from each other, and exchange widely divergent viewpoints on a variety of professional topics. It has long been considered one of ISACA's strengths.

Global Network

Another of ISACA's strengths is its chapter network. ISACA has more than 190 chapters established in over 75 countries worldwide, and those chapters provide members education, resource sharing, advocacy, professional networking and a host of other benefits on a local level. Find out if there's a chapter near you.

Since its inception, ISACA has become a pace-setting global organization for information governance, control, security and audit professionals. Its IS auditing and IS control standards are followed by practitioners worldwide. Its research pinpoints professional issues challenging its constituents.

Its Certified Information Systems Auditor (CISA) certification is recognized globally and has been earned by more than 88,000 professionals since inception. The Certified Information Security Manager (CISM) certification uniquely targets the information security management audience and has been earned by more than 17,000 professionals. The Certified in the Governance of Enterprise IT (CGEIT) designation promotes the advancement of professionals who wish to be recognized for their IT governance-related experience and knowledge and has been earned by more than 4,500 professionals. The Certified in Risk and Information Systems Control (CRISC) designation for those who identify and manage risks through the development, implementation and maintenance of information systems controls has been earned by more than 14,000 professionals. ISACA publishes a leading technical journal in the information control field, the *ISACA Journal*. It hosts a series of international conferences focusing on both technical and managerial topics pertinent to the IS assurance, control, security and IT governance professions. Together, ISACA and its affiliated IT Governance Institute lead the information technology control community and serve its practitioners by providing the elements needed by IT professionals in an ever-changing worldwide environment.

For more information on the association's products and services, e-mail membership@isaca.org.

Background

In 1980 there was only one chapter of the EDPAA in the state of North Carolina. This chapter was headquartered in Charlotte and drew participation for EDP Auditors as far east as Winston-Salem and Greensboro. EDP Auditors further east were either not associated with the EDPAA or they drove to Virginia to participate with chapters there.

Sometime in the early 1980's, a group of Charlotte members from the Triad area (Greensboro, Winston-Salem, and High Point) decided to split off from the larger chapter and form their own group. The major reason behind the movement was simply to cut down on the travel required to attend chapter meetings. So the Triad Chapter was created sometime in the early 1980's. Not much is known about the activities of the chapter during its existence because the records had been lost. The chapter did struggle with pulling in new members because a lot of auditors did not want to release their ties from the Charlotte chapter. The chapter was able to present a seminar or workshop sometime during their life which provided them with some operating capital.

1985-1986

(Creation) In June of 1985, Stephen Head, outgoing President of the Triad Chapter, contacted Manny Rojas of Central Carolina Bank (CCB) and Jerry Truchinski of Carolina Power & Light (CP&L). Mr. Head informed these gentlemen that the Triad Chapter was dissolving and was looking for someone to save what was left of the chapter. Rojas and Truchinski set up a meeting for Wednesday, June 26, 1985, to meet with Mr. Head in Durham. Also invited to the meeting were Charlie Stainback of Branch Banking & Trust (BB&T), Jim Hinson (CP&L), and Jim Shaw (CCB). In the meeting, Mr. Head explained how nearly all of the Triad Chapter leaders were leaving the area for new jobs. They had issued a call within the Triad Chapter at the last chapter meeting to ask for volunteers to take over the reins. When no one came forward, the decision was made to fold the chapter and submit the treasury to the EDPAA Foundation or to seek others to take over.

The decision was made that these gentlemen would move the Triad Chapter to the Research Triangle area and attempt revitalization. With that, Mr. Head turned over the treasury of \$1,250 to this group along with chapter records and a small inventory of resource books. Thus was born what we know today as the Research Triangle Chapter. The major duties of the chapter were divided up that day and officer assignments made as follows:

President	Jerry Truchinski	CP&L
First VP	Charlie Stainback	BB&T
Second VP	Manny Rojas	CCB
Secretary	Jim Hinson	CP&L
Treasurer	Jim Shaw	CCB
Director	Stan Melton	Hardee's
Director	Trish Davis	CP&L
Director	Lloyd Railey	Peoples Bank
Director	Danny Brown	GTE

The first meeting was held August 22 at Balentines and featured simply a "welcome to all." President Jerry Truchinski explained the direction of the chapter and appealed for new members. The meeting was well attended (over 30 professionals were in attendance). The meeting did generate a number of new applications for membership, so the chapter started with approximately 25 members on the roll.

The name of the chapter was officially changed in October 1985 by the National Headquarters from Triad to Research Triangle. In the spring, the Raleigh/Durham chapter of the IIA invited us to join with them in a joint meeting in January. This meeting was conducted in coordination with the IIA's regional meeting which the Raleigh/Durham chapter also hosted. Over 100 people were on hand to hear Admiral Grace Hopper of the US Navy relate stories about the early days of computer processing. This first year, eight chapter meetings were held with an average attendance of approximately 20 people.

1986-1987

The leadership for this chapter year virtually remained the same. The chapter leaders were:

PresidentJerry TruchinskiCP&L
First VP.....Charlie StainbackBB&T
Second VPManny RojasCCB
SecretaryJim HinsonCP&L
Treasurer.....Jim ShawCCB
Director 1Lester HalesFirst Citizens Bank
Director 2.....Dennis Smith.....NC State University
Director 3.....Teresa Barnes.....Peoples Bank

In February 1987, the chapter conducted its first two-day seminar featuring five different concurrent sessions using seven guest speakers. With approximately 50 attendees, the seminar was successful and provided the chapter with approximately \$2,000 of operating capital. Out of this, the chapter made its first contribution to the EDP Auditors Foundation (EDPAF) for research.

1987-1988

The leadership for this chapter year virtually remained the same. The chapter leaders were:

PresidentJim ShawCCB
First VP.....Teresa Barnes.....Peoples Bank
Second VPLester HalesFirst Citizens Bank
SecretaryDick AdamsHanover Group
Treasurer.....Charlie StainbackBB&T
Past President.....Jerry TruchinskiCP&L
Director 1Jim HinsonCP&L
Director 2.....Tom WilliamsPlanters Bank
Director 3.....Dan PanepintoPlanters Bank

A variety of technical and non-technical speakers were obtained for the chapter meetings by Teresa. Lester led a membership drive which results in the positive growth for the chapter, increasing the membership list to 49 people. The chapter put together a customized two-day seminar presented personally by Michael Sobol (MIS) in February. Approximately 55 professionals attended the two-day event which netted the chapter approximately \$3,600 in operating income. Sobol was the guest speaker at the chapter meeting held the first night of the seminar which drew in a record attendance for a chapter meeting of 53 people. The meeting even attracted other Region 7 chapter presidents and leaders. The board again made a presentation to the EDPAF.

1988-1989

The officers for this chapter year were as follows:

President	Lester Hales	First Citizens Bank
First VP.....	Rick Julian	CP&L
Second VP	Tom Williams	Planters Bank
Secretary	Kirk Whorf.....	Peoples Bank
Treasurer.....	Charlie Stainback	BB&T
Past President.....	Jim Shaw	CCB
Director	Nelson White	First Citizens Bnak
Director	Teresa Barnes McCauley	CCB
Director	Dan Panepinto.....	Planters Bank
Director.....	Manny Rojas	CCB
Director.....	Dick Adams	(student)

Considerable movement and turnover of chapter members occurred during the year resulting in a number of people serving on the Board of Directors. Technical sessions were presented before the meetings. In February 1989, the EDPAA joined forces with the Raleigh/Durham Chapter of the IIA to present a joint two-day seminar of audit education. A number of concurrent tracks were presented for both EDP Auditors as well as financial and operational auditors.

The Chapter continued the tradition of contributing a portion of the seminar profits to the EDPAF. This year President Hales presented a check in person to EDPAD leaders at the 1989 Internal Conference held in Toronto. The Chapter was awarded a service ribbon for this contribution.

1989-1990

The chapter leaders were as follows:

President	Teresa McCauley	First Citizens Bank
First VP.....	Tom Williams	Planters Bank
Second VP	Dan Panepinto.....	Planters Bank
Secretary	Pamela Gragg	Planters Bank
Treasurer.....	Kirk Whorf.....	Peoples Bank
Past President.....	Lester Hales	First Citizens Bank
Director	Martha Asby.....	Peoples Bank
Director	Rick Julian	CP&L
Director	Bill Ladd	Burroughs Wellcome
Director.....	Jim Shaw	CCB
Director.....	Nelson White	First Citizens Bank

This was to be the year of the “New Look” for the Research Triangle Chapter. A summer seminar was sponsored in 1989 by the Research Triangle Chapter free of charge to its members and only a \$50 fee for non-members. Coopers & Lybrand, from Atlanta, came in and presented a “Day of Development and Disaster” which drew approximately 30 attendees, both members and non-members. In February 1990, the second annual Central Carolina Audit and Control Conference was held jointly by the Raleigh-Durham IIA Chapter and the Research Triangle Chapter EDPAA. Teresa Barnes McCauley and Kirk Whorf represented EDPAA in this production.

The EDPAA/EDPAF Chapter Recognition Reward for Medium Chapters was presented to the Research Triangle Chapter for Chapter Year 1989-90.

The history of the Research Triangle Chapter was organized under the leadership of Jim Shaw with the assistance of Manny Rojas, Teresa McCauley, and Lester Hales. This was presented at the last chapter meeting with the understanding that the Chapter Secretary would add to it each year henceforth.

1990-1991

The officers of the chapter were:

PresidentMike GaynorGlaxo
First VP.....William Alston.....Hampton Industries
Second VPBen McLawhornBurroughs Wellcome
SecretaryMary Ann SidesBCBSNC
Treasurer.....Wendy WhiteBB&T
Past President.....Teresa McCauleyFirst Citizens Bank
DirectorTerry SmithRoses Stores
DirectorPat EdwardsBB&T
DirectorNelson WhiteFirst Citizens Bank

Once again, a successful joint conference was held with the Raleigh-Durham Chapter IIA. The format was a little different from previous years: the IIA had two tracks the first day and the EDPA had two tracks on the second day. The conference netted the chapter \$2,600. Again, 10% of the proceeds were donate to the EDPAF. TV news personality Lloyd Dobbins entertained guests at dinner on the first day, speaking on “Quality or Else.” The chapter gained 14 new members and ended the year with a total of 55 members. Again, the Research Triangle Chapter was honored with the 1990-91 Chapter Recognition Award for medium sized chapters.

1991-1992

The officers for this chapter year were:

PresidentMike GaynorGlaxo, Inc.
First VP.....Terry SmithRoses Stores
Second VPWendy WhiteBB&T
SecretaryPam GraggCentura Bank
Treasurer.....Judy Baker RickettsUNC Hospitals
Past President.....Teresa McCauleyFirst Citizens Bank
DirectorBen McLawhornBurroughs Wellcome
DirectorDrury JenkinsNational Spring
DirectorGerry KearnsFirst Citizens Bank

Terry Smith coordinated six pre-meeting technical sessions, all at no charge to the membership. Meetings were advertised in the Raleigh and Durham newspapers. The Secretary sent out confirmations and thank-you letters to each speaker this year. Teresa McCauley and Ben McLawhorn published a professional Directory. Pam Gragg issued the monthly newsletter, which took on a new format.

The Research Triangle Chapter made a monetary contribution to the formation of the Piedmont Triad Chapter in Winston-Salem. The donation was presented to the Triad Chapter by Teresa McCauley, Region 7 Assistant Vice President. The Research Triangle Chapter began the year with 55 members and ended with 48.

The Research Triangle Audit and Control Conference was a huge success due to Conference Chairman Andrew Weniger. Fifty-three audit professionals form the region attended. Richard Roach from the SAS Institute was the dinner speaker during the first night of the Conference, presenting “Trends Away From the Mainframe.”

One of the hottest issues of the year was the proposed name change from EDPA to Information Systems Control Association (ISACA). Because of the strong opposition, the vote on this change was deferred one year. In general, members requested the retention of the name “audit” in the organization name and asked for a vote by the general membership instead of by the small number of attendees a the Annual General Meeting.

1992-1993

The officers of this chapter year were:

PresidentBen McLawhornBurroughs Wellcome
First VP.....Andrew WenigerGlaxo, Inc.
Second VPTim Phillips.....Glaxo, Inc.
SecretaryTeresa McCauleyFirst Citizens Bank
Treasurer.....Judy Baker RickettsUNC Hospitals
Past President.....Mike GaynorGlaxo, Inc.
DirectorCharlie HinnantCentura Bank
DirectorDrury JenkinsNational Spring
DirectorRicky SmithBB&T

At the International meeting, Andrew Weniger represented the Research Triangle Chapter by presenting a check for \$271 which represented 10% of the earnings from the Research Triangle Audit and Control Conference (February 10-11, 1992). The winners of the K. Wayne Snipes Chapter recognition Award were announced at the Awards Luncheon and the Research Triangle Chapter was again a winner.

Andrew Weniger coordinated six pre-meeting technical sessions, all at no charge to the membership along with eight outstanding dinner presentations for the chapter. In September, Ben McLawhorn represented the Chapter at the Region 7 President's Council Meeting in the Research Triangle.

The first annual Carolinas Audit and Control Conference was held on March 1-3, 1993. This was a first for a joint venture between the Research Triangle, Charlotte, Piedmont Triad, and Greenville (SC) Chapters. The three-day multi-track conference was held in Greensboro, NC and was well attended by participants from six states. To coincide with the Conference, the Governors of NC and SC issue proclamations declaring the week of February 28-March 6 as "Information Systems Auditing Awareness Week." The Conference was very successful for all Chapters involved! Total net profit for the conference was approximately \$10,860.

The International Office suggested that individual Chapters incorporate to ensure that general liability concerns were addressed. Therefore, on May 1, 1992, Rufus L. Edmisten, Secretary of the State of North Carolina proclaimed the incorporation of the EDP Auditors Association, Inc. – Research Triangle Chapter.

The appointed International Committee, Image 2000, proposed that the EDP Auditors Association change its name to the Information Systems Audit and Control Association. By virtue of past discussions and input, the word "audit" was retained in the proposed name change. This name was adopted by the membership in July.

1993-1994

The officers for this chapter year were:

PresidentBen McLawhornBurroughs Wellcome
First VP.....Ben ComerCP&L
Second VPJudy BakerUNC Hospitals
SecretaryTeresa McCauleyFirst Citizens Bank
TreasurersCharlie HinnantCentura Bank
Mike DearingFirst Citizens Bank
Past President.....Mike GaynorGlaxo, Inc.
DirectorConnie Watkins.....CP&L
DirectorNelson WhiteFirst Citizens Bank
DirectorTim Phillips.....Glaxo, Inc.
Director.....Terry Young.....Hampton Industries

At the International Conference in Minneapolis, Minnesota, Teresa McCauley, Region 7 Vice President, represented the Research Triangle Chapter by presenting a check for \$350 which represented 10% of the Chapter's profit from the Carolinas Audit and Control Conference (March 1-3, 1993).

Ben Comer coordinated six pre-meeting technical sessions, all at no charge to the membership along with eight outstanding dinner presentations for the chapter. Tim Phillips led the membership drive. The chapter membership grew to a count of 70 members at the end of the chapter year.

The second annual Carolinas Audit and Control Conference was held on February 28-March 2, 1994. This was a joint venture between the Research Triangle, Charlotte, and Piedmont Triad Chapters. The three-day multi-track conference was held in Charlotte, NC and was well attended by participants from seven states. Total net profit for the conference was approximately \$12,000.

The Board of Directors voted to donate 10% of the Research Triangle proceeds (\$350) to the EDP Auditors Foundation (earmarked for research). Teresa McCauley, Region 7 Vice President presented the check at the Awards Luncheon in London, U.K.

1994-1995

The officers for this chapter year were:

President	Ben Comer	CP&L
First VP.....	Judy Baker	UNC Hospitals
Second VP	Terry Young.....	Hampton Industries
Secretary	Lisa Starling	NC Office of the State Auditor
Treasurer.....	Mike Dearing	First Citizens
Past President.....	Ben McLawhorn	Glaxo-Wellcome, Inc.
Director	Martin Vernon.....	NC Office of the State Auditor
Director	Nelson White	First Citizens
Director	John Carlson.....	Kennametal, Inc.
Directory	John Carlson.....	Kennametal, Inc.
Region VP.....	Teresa McCauley	First Citizens Bank

In June 1994, the EDP Auditor's Association, Inc. changed its name to the Information Systems Audit and Control Association. In compliance, the Research Triangle Chapter also changed its name to the Research Triangle Chapter of the Information Systems Audit and Control Association. Also, in June the Officers and Board of Directors met to discuss plans for the coming year. Memberships had lapsed over the spring and summer such that the Chapter began the year with 59 members. Since the Chapter's treasury was in very good standing, the Officers and Directors decided to invest some of the treasury into the membership by bringing in very high quality speakers for the chapter year in hopes of increasing chapter membership. In addition to the high quality programs coordinated by Judy Baker, the Chapter undertook an aggressive membership drive targeting non-member attendees of chapter events. Using direct mail follow-up, headed by Michael Dearing, and special membership offers, the chapter was able to increase membership by 40% to 83 members by the planning meeting for the next chapter year (1995-1996).

Meetings continued to be held at the Raleigh Country Club on the first Wednesday of each month with the exceptions of December (no meeting), January (held on the second Wednesday), and March (no meeting due to the Carolinas Audit and Control Conference). Meetings featured excellent meals and hospitality served by the Raleigh Country Club. Chapter membership dues remained \$25. Judy Baker coordinated all technical seminars and evening sessions; Lisa Staling distributed the newsletter and advertising fliers., and John Carlson published the chapter Directory.

In June 1994, Teresa McCauley represented Region 7 at the International Conference, Annual General Meeting, and Leadership Conference in London England. Teresa presented a check for \$350 from the Research Triangle Chapter to the Information Systems Audit and Control Association for the CobiT and UNIX research projects. In August 1994, Terry Young represented the Chapter, and Teresa McCauley represented Region 7 at the President's Council Meeting along with leaders from regions 2, 3 and 4. Also, Teresa McCauley represented the Chapter at the Region 7 President's Council meeting in February 1995.

The Chapter hosted the third annual Carolinas Audit and Control Conference at the North Raleigh Hilton in Raleigh, NC, along with the Charlotte and Piedmont Triad Chapters. This was a three-day three track conference and was attended by 75 attendees and 19 speakers. Net profits were about \$10,400 with about \$4,000 going to the Research Triangle Chapter. As is our custom, the Chapter donated 10% of the net profits, \$400, to the Information Systems Audit and Control Foundation to help fund the CobiT and UNIX projects.

1995-1996

This marked the 10th anniversary for the Research Triangle Chapter. The Association presented Research Triangle with a lovely plaque commemorating the milestone.

The officers for this chapter year were:

PresidentJim Shaw.....CCB
First VP.....Bill Hampton.....Carsten Hampton, Inc.
SecretaryTerry Young.....Hampton Industries
Treasurer.....Mike DearingFirst Citizens Bank
Past President.....Ben ComerCP&L
DirectorJohn Carlson.....Kennametal, Inc.
DirectorNelson WhiteFirst Citizens Bank
DirectorMartin Vernon.....NC Office of the State Auditor

Chapter members were provided with a strong slate of programs in education during the 1995-1996 chapter year thanks mainly to the programming efforts of Bill Hampton. Each chapter meeting was preceded by a technical session with a relevant topic. Topics included Securing and Auditing Unix, Computer Fraud, Client Server Audit and Security, MVS Security and Exposures, Communication Network Security and more. The chapter concluded the year with a joint meeting hosted by the local IIA chapter. In addition, the chapter participated in the fourth annual Carolinas Audit and Control Conference in Greensboro joining the Charlotte Chapter and the host Piedmont Triad Chapter. This three-day conference attracted 53 attendees and speakers from around the country.

Our chapter was presented with the K. Wayne Snipes Award for medium sized chapters at the September meeting for the 1994-1995 chapter year. This award recognizes chapters who have promoted and supported the Foundation and the information audit and information security professions. We were notified in June that the chapter had again won the Snipes Award for 1995-1996.

Membership at the close of the chapter year in June stood at 90 members. We were provided with a monthly newsletter published by Martin Vernon and a comprehensive membership directory produced by John Carlson. Nelson White provided the audit of the chapter's books and directed the nomination efforts. Treasurer Michael Dearing provided the board with concise reporting on a regular basis and maintained attendance records of chapter functions. Secretary Terry Young directed a CISA Review Course which was conducted on two Saturdays. Staffed by chapter volunteers, training was provided to nine members. Fifteen members sat for the CISA exam in June. Finally, Past President Ben Comer provided regular advice and counsel to the Board of Directors and officers.

1996-1997

The officers for this chapter year were:

PresidentJim ShawCCB
First VP.....Rick HudonCCB
Second VPTeresa McCauleyFirst Citizens Bank
SecretaryMike DearingFirst Citizens Bank
Treasurer.....Martin Vernon.....NC Office of the State Auditor
Past President.....Ben ComerCP&L
DirectorTerry Young.....Hampton Industries, Inc.
DirectorJames McDonald.....Pencorp Financial
DirectorNelson WhiteFirst Citizens Bank

Chapter members were provided with a strong slate of programs in education during the 1996-1997 chapter year thank mainly to the programming efforts of Richard Hudon. Each chapter meeting was preceded by a technical session with a relevant topic.

Chapter members concluded the year with a joint meeting hosted by the local IIA Chapter. In addition, the chapter participated in the fifth annual Carolinas Audit and Control Conference in Charlotte joining the Piedmont Triad Chapter and the host Charlotte Chapter. This three-day conference attracted over 90 attendees and featured speakers from around the country. Our chapter was presented with the K. Wayne Snipes Award for medium sized chapters at the September meeting for the 1995-1996 chapter year. This award recognizes chapters who have promoted and supported the Foundation and the information audit and information security professions.

Membership at the close of the chapter year in June stood at 80 members. We were provided with a monthly newsletter published by Michael Dearing plus a comprehensive membership directory. Jim McDonald provided the audit of the chapter's books and directed the nomination efforts. Treasurer Martin Vernon provided the board with concise reporting on a regular basis and maintained attendance records of the chapter functions. Finally, Past president Ben Comer provided regular advice and counsel to the Board of Directors and officers.

1997-1998

The officers for this chapter year were:

President	Nelson White	First Citizens
First VP.....	Richard Donahue.....	Centura
	Heidi Everett.....	NC Office of the State Auditor
Second VP	Jim McDonald.....	PennCorp Financial
Secretary	Martin Vernon.....	NC Office of the State Auditor
Treasurer.....	Mike Dearing	First Citizens
Past President.....	Jim Shaw.....	CCB
Director	Heidi Everett	NC Office of the State Auditor
Director	Charlie Hinnant.....	Centura Bank
Director	Britt Wilson.....	First Citizens Bank
Directory	Jim Shaw.....	CCB

Terry Young, a chapter member for many years, served as CISA Coordinator but resigned at year end to accept a job out of the area. Jim Shaw edited and published the Chapter Directory. Using the power of the internet, Jim instituted an electronic mail meeting notice. Britt Wilson was both the Audit Committee and Nominations Committee. Mike Dearing was the Newsletter Editor. Heidi Everett assumed the responsibility of Program Chair during the year with support from the Board after Rich Donahue resigned to accept job relocation.

Educational highlights of the chapter year included a full day technical session on Windows NT (in addition to the regular monthly meeting), in December, presented by Jim Ott of Coopers & Lybrand, a half-day session on UNIX, in January, also presented by Jim Ott, and a full day session on client/server, in March, presented by Stuart Holoman of HOLOCON. We ended the year in May with an excellent half-day session on Electronic Commerce, presented by Alex Woda of Alex Woda and Associates.

The crowning educational achievement for the year was hosting the Sixth Annual Carolinas Conference. Steve Goodson of First Citizens Bank did an outstanding job as Conference Chair. Because of the continuing success of the Conference and the significant amount of time required to put it on, the Board decided (with concurrence from the Charlotte and Triad chapters) to use a professional conference service, InfoMarketing, Inc., of Durham to arrange and manage the Conference. Also, it was decided to add a new track on Network Communications for the entire three days. The conference was held in February at the North Raleigh Hilton and some 90 people attended. The conference was very successful as it provided affordable quality education and generated revenues to fund future educational events.

Ben McLawhorn and Jim Shaw continued the process of establishing the William M. Alston Memorial Scholarship. The scholarship rules and criteria and the application form were published in the February newsletter. At the May meeting, the first award in the amount of \$250 was presented to Shuntrelle Thornton, daughter of member Abbie Thornton, to attend NC State University.

1998-1999

The officers for this chapter year were:

President	Nelson White	First Citizens Bank
First VP.....	Becky Carston	Carsten & Hampton, Inc.
Second VP	Mike Dearing	First Citizens Bank
Secretary	Britt Wilson.....	First Citizens Bank
Treasurer.....	Gerald Kelber.....	CP&L
Past President.....	Jim Shaw	CCB
Director	Michael Bobbitt	CCB
Director	Charlie Hinnant	Centura Bank
Director	Martin Vernon.....	NC Office of the State Auditor
Directory	Jim Shaw	CCB
Directory (html).....	Rick Hudon	CCB
CISA Coordinator.....	Heidi Everett	NC Office of the State Auditor

Jerry Kelber notified the Board in December 1998 that, due to unforeseen demands at work, he would be unable to continue in the role as Treasurer for the chapter. Chuck Cole, also, with CP&L, volunteered his services as interim Treasurer and was soon appointed and approved as successor to Mr. Kelber. Becky Carsten, sighting similar reasons as Mr. Kelber, offered her notice resignation in January as 1st Vice President of the chapter. While accepting this resignation, the board accepted the offer of Mr. Cole and Britt Wilson, to jointly assume responsibilities of the vacant 1st Vice President's seat.

Heidi Everett was appointed and served as the chapter's CISA Coordinator. Jim Shaw volunteered to serve as our chapter's Membership Directory Editor. Mr. Shaw enlisted the assistance of Rick Hudon. The chapter's Membership Directory was published by Mr. Hudon. They completed, with excellent results, our Chapter's 1998-1999 Membership Directory. A special recognition to Rick for converting the text into HTML format that accompanied the traditional paper copy. Jim's administrative assistant, Ms. Gail Bradley, also made significant contributions to the Directory.

As has been the tradition of the chapter, over the last four or five years, a broad variety of excellent technical sessions were made available to the members of the chapter and any guest interest in attending. These sessions were presented by professional and in many cases, nationally renowned speakers. Four full day sessions complemented by four half-day sessions were scheduled for the chapter year. Our most notable speakers were Winn Schwartau (Risk of Global Economy and a hacking demonstration), Ken Cutler (Securing and Auditing your TCP/IP Networks) and in January Joe Gelinis presented CobiT Implementation.

The Seventh Annual Carolinas Audit and Control Conference was held in Greensboro in February. Total attendance was calculated to be 47 session attendees and 24 speakers. Representation from the Research Triangle Chapter was 19, two of which were speakers.

This chapter year there were three applicants for the annual William M. Alston Memorial Scholarship. Mr. White and Mr. Dearing formed the committee that reviewed the applications. Their recommendation was to award three scholarships this year due to the outstanding caliber of the applicants. Kristen Kohnson (daughter of Rhett Johnson – Wake Forest University School of Medicine), Paul Goodell (stepson of Jim Shaw – Central Carolina Bank), and David Ingram (son of Dan Ingram – CP&L) were this year's recipients. All three are gifted students and well deserving of the chapter's support.

1999-2000

The officers for this chapter year were:

President	Mike Dearing	First Citizens
First VP	Chuck Cole	CP&L
Second VP	John Levin	Glaxo Wellcome
Secretary	Britt Wilson	First Citizens
Treasurer	Michael Bobbitt	CCB
Past President	Nelson White	First Citizens
Director	Gerry Kelber	CP&L
Director	Beth Lowery	CP&L
Director	John Malvaso	CP&L
CISA Coordinator	Loren Allston	PriceWaterhouseCoopers
Conference Chair	Jim Shaw	CCB

Loren Allston (PricewaterhouseCoopers) was appointed and served as the chapter's CISA Coordinator. Jim Shaw volunteered to serve as our chapter's by coordinating monthly meeting reservations.

As has been the tradition of the chapter, over the last four or five years, a broad variety of excellent technical sessions were made available to the members of the chapter and any guest interested in attending. These sessions were presented by professional and in many cases, nationally renowned speakers.

Two separate two-day sessions complemented by four half-day sessions and one full day session were scheduled for the chapter year. Unfortunately, one of the ½ day sessions had to be cancelled. Planning for that presentation encountered difficulties and interest was very light. Our most notable speakers were Stuart Holoman (Network Management), Peter Stephenson (Penetration Testing) and in May Gordon Smith presented a two day session on Control and Security of e-commerce to a joint gathering of the local IIA chapter and our ISACA chapter.

The Charlotte chapter of ISACA hosted the eighth annual Carolinas Audit and Control Conference in February 2000. Mr. Jim Shaw served as our chapter's volunteer for the 2000 Carolinas Audit and Control Conference. Representation from the Research Triangle chapter was 14, two of which were speakers.

This chapter year there were two applicants for the annual William M. Alston Memorial Scholarship. Mr. Dearng served as the committee that reviewed the applications. His recommendation was to award the scholarship this year to Anna Cole Vernon (daughter of Martin Vernon – North Carolina State Office of the Auditor).

2000-2001

The officers for this chapter year were:

PresidentMike DearingFirst Citizens
First VP.....Norm Burtness
Second VPBob Hull.....Rex Healthcare
SecretaryPeggy WingateCCB\National Commerce
Treasurer.....Michael BobbittCCB\National Commerce
Past President.....Nelson WhiteFirst Citizens
DirectorChuck Cole.....CP&L
DirectorBeth Lowery.....CP&L
DirectorMary Ann SidesBCBSNC

CISA CoordinatorStacy ThomasCentura
WebMaster.....Mike DearingFirst Citizens
Conference ChairJim ShawCCB\National Commerce

In lieu of the Annual Carolinas Audit and Control Conference, the Charlotte chapter of ISACA hosted an ISACA Training Week.

This chapter year there were two applicants for the annual William M. Alston Memorial Scholarship. A \$250 scholarship was awarded to Anna Cole Vernon (daughter of Martin Vernon - North Carolina State Office of the Auditor) and Peggy Lindgren - North Carolina Department of Correction.

2001-2002

The officers for this chapter year were:

President	Rick Hudon	National Commerce Financial
First VP.....	Michael Bobbitt	National Commerce Financial
Second VP	Bob Hull.....	Rex Healthcare
Secretary	Peggy Wingate	National Commerce Financial
Treasurer.....	Peggy Lindgren	NC Dept. of Corrections
Past President.....	Mike Dearing	First Citizens
Director	Mike Saviak	BB&T
Director	Keith Garrett	BB&T
Director	Mary Ann Sides	BCBSNC
Audit/Nominations	Keith Garrett	BB&T
	Mike Saviak.....	BB&T
CISA Coordinator	Stacy Thomas.....	Centura
WebMaster.....	Stacy Thomas.....	Centura

Stacy also served as the Webmaster of our chapter website, www.isaca-rtc.org. Stacy's efforts and dedication as Webmaster earned the chapter a Silver recognition award from ISACA. Keith Garrett and Mike Saviak volunteered to serve as co-Chairs of the Audit & Nominating Committees. Julie Moushon also made significant contributions to the chapter by coordinating monthly meeting reservations.

As a cost saving measure, the publication and mailing of the Chapter newsletter was suspended. Information was provided via the website and e-mail announcements/bulletins.

The chapter provided the opportunity for 60 hours of continuing education hours during the chapter year. This included a three day ACL training conference. In the aggregate, the Chapter provided 1,084 continuing education hours. As a service to the Chapter, the Board elected to provide free sessions to our membership totaling 10 CE hours. The Charlotte chapter of ISACA hosted an ISACA Training Week in lieu of the Annual Carolinas Audit and Control Conference.

The chapter sponsored a night out at the Durham Bulls baseball game for members to network with their peers.

Our Treasurer prepared a detailed chapter operating budget and the Board approved a formal chapter reserve policy (how much money should be set aside for a rainy day). The excess reserve was allocated to be reinvested back to the Chapter as reduced cost/free training to members.

This chapter year there were two applicants for the annual William M. Alston Memorial Scholarship. A \$250 scholarship was awarded to Anna Cole Vernon (daughter of Martin Vernon - North Carolina State Office of the Auditor) and Peggy Lindgren - North Carolina Department of Correction.

2002-2003

The officers for this chapter year were:

President	Rick Hudon	National Commerce Financial
First VP.....	Michael Bobbitt	National Commerce Financial
Second VP	Keith Garrett	BB&T
Secretary	Susan Burch	Builders Mutual Ins
Treasurer.....	Peggy Lindgren	NC Dept. of Corrections
Past President.....	Mike Dearing	
Director	Mike Saviak	BB&T
Director	Bob Hull.....	Rex Healthcare
Director	Randy Keeler	Martin Marietta
Audit/Nominations	Keith Garrett	BB&T
	Mike Saviak.....	BB&T
Directory	Keith Garrett	BB&T
CISA Coordinator	Stacy Thomas.....	BB&T
WebMaster.....	Stacy Thomas.....	BB&T

The Board established a goal of providing 20 continuing education hours to our membership at no cost. A total of 22 hours of training events was scheduled and included the following topics:

- Management - *Jean Frennette*
- Network Security/Penetration Testing - *Gary Hull*
- Risk Assessment/Risk Analysis/Audit Planning – *presented by Don Bailey and Neal Curran*
- Program Change Control – *presented by Bruce Lamond*
- Auditing Unix or Windows NT/2000 - *presented by Bindview*
- Security Risk Assessment – *presented by Dr Bei-Tseng Chu*

The Chapter hosted two evening meetings during the year providing a networking opportunity at our CISA recognition dinner and at our annual dinner meeting. Each meeting included an after-dinner presentation:

- Trends In Auditing - *presented by Jean Frennette*
- IT Audit in Post-Enron World – *presented by Derek Duval, CPC*

Not only did we exceed our goal for continuing education hours, we provided an aggregate of 837 CE hours! Our chapter events were attended by 63 different chapter members during the year and 18 of our professional peers and associates. We recorded some of the highest attended sessions in recent years.

Despite an economic downturn, the Board established a goal of increasing membership by 5% to 126 members. In April, we received notification that our membership had increased to 140 members; far exceeding our goals and expectations! Keith Garrett published the Chapter membership directory. Your Board of Directors was very sensitive to the privacy concerns of our membership and published the directory with an "opt-in/opt-out" process and opportunities for members.

The Alston Memorial Scholarship Committee reviewed the scholarship applications received as of March 1, 2003 and confirmed that each applicant met the eligibility criteria for the scholarship. The \$750 award was distributed equally (\$150) to the following recipients:

- Stacy A Johnson** (*Rhett Johnson*) attending University of North Carolina - Wilmington
- Sante Piracci** (*Michael Piracci*) attending Washington and Lee
- Krishnan Siva** (*Natarajan Siva*) attending North Carolina State University

Anna Vernon (*Martin Vernon*) attending Meredith College
Sara Vernon (*Martin Vernon*) attending East Carolina University

Each year the Membership Board automatically reviews all of the chapter web sites that link from the ISACA web site. **Stacy Thomas**, our Web Director established a high standard in 2001 when the Research Triangle Chapter website received the Silver Level Award. She continued her efforts in 2002 and our website has been recognized as an 'Outstanding Chapter Web Site' and Gold Level International Award Winner. In addition to her Web Director duties, Stacy was an active participant at our Board meetings and provided valuable advice. She also served as the Research Triangle Chapter CISA Coordinator.

Jim Shaw donated his time and services to professionally photograph our officers for the website. His contributions were most appreciated.

2003-2004

The chapter officers were:

PresidentMichael BobbittNational Commerce Financial
Acting President.....Rick HudonNational Commerce Financial
First VP.....Keith GarrettBB&T
Second VPMike SaviakBB&T
SecretarySusan BurchBuilders Mutual Ins
Treasurer.....Michelle Wicker.....NC Office of the State Auditor
Past President.....Rick HudonNational Commerce Financial
DirectorAnn Corbly.....
DirectorBob HullRex Healthcare
DirectorRandy KeelerMartin Marietta

The Board established a goal of providing 20 continuing education hours to our membership at no cost. A total of 25 hours of training events was scheduled and included the following topics:

- Information Security Awareness - Dick Langford
- Linux in the Corporate Environment - *Dr. Chris Cramer*
- 3-Dimensions to Networks: Risk Assessments, Network Architectures, and Emerging Wireless Technologies - *Matt Thompson & Neal Curran*
- Information Security Corporate Governance & other random acts of due diligence. - *Craig Heier*
- Forensics in Evolution - *Giovanni Masucci*
- Business Continuity Planning - *Thomas C. Judd*
- Importance of IT Controls to Sarbanes-Oxley - *Tania Trbovich*

As of May 17, 2004 our chapter rosters grew to 157 members.

The Alston Memorial Scholarship Committee reviewed the scholarship applications received as of March 1, 2003 and confirmed that each applicant met the eligibility criteria for the scholarship. A \$250 award was distributed to the following recipients:

Stacy A Johnson (*Rhett Johnson*) attending University of North Carolina - Greensboro
Jessica Shaw (*Jim Shaw*) attending Alamance Community College

Stacy Thomas, our chapter webmaster, continued her outstanding service. The Research Triangle Chapter was recognized for an 'Outstanding Chapter Web Site' and Gold Level International Award Winner. This was the second consecutive year the award has been presented to the chapter. In addition to her Web Director duties, Stacy was an active participant at our Board meetings and provided valuable advice. She also served as the Research Triangle Chapter CISA Coordinator.

In March 2004, Michael Bobbitt resigned from his post as Chapter President after accepting a position in Atlanta, GA. Rick Hudon agreed to serve as Acting President to conclude the Chapter year.

2004-2005

The chapter officers were:

PresidentRick HudonNational Commerce Financial
 First VP.....Keith GarrettBB&T
 Second VPBob HullRex Healthcare
 SecretaryJohn Levin.....GlaxoSmithKline
 Treasurer.....Michelle Wicker.....NC Office of the State Auditor
 Past President.....
 DirectorSusan BurchRTI
 DirectorRandy KeelerMartin Marietta
 DirectorMike SaviakBB&T
 Certification ChairStacy Thomas.....BB&T
 WebmasterStacy Thomas.....BB&T
 Nominations Chair.....Chris GarrisUNC
 Audit ChairMinh DoNC Office of the State Auditor

As of May 11, 2005 our chapter rosters grew to 231 members.

The Board continued its goal of providing 20 continuing education hours to our membership at no cost. A total of 33 hours of training events was scheduled and included the following topics:

		<u>CE Hours</u>
Sep	08 Privacy Speaker: David Baumer, NCSU	3
Oct	13 Current Threats to your Data Network Speaker: Philip J. Lunsford, II, ECU	3
Nov	03 Information Security Speaker: Jim Sorrell	3
Dec	--- No meeting (Break for Winter holiday)	
Jan	05 Spyware & Viruses Speaker: Grant Thornton, Inc.	3
Feb	02 How to Audit Unix Speaker: Chris Cramer, Ph.D.	3
Mar	02 Auditing Windows Active Directory Speaker: Derek Melber	7
Apr	06 Cancelled 3	
May	04 Wireless Personal Alert Systems and Nomadic Networks for Improving Workplace and Community Safety Speakers: Matt Powell & J. Barry DuVall PhD	3
May	10 CanAudit sessions with the IIA	8

Our Chapter presented at the East Coast President’s Council Meeting; speaking to the group about our successful initiatives including the Chapter scorecard and “free” sessions.

2005-2006

The chapter officers were:

PresidentKeith GarrettBB&T
First VP.....Chris GarrissUNC
Second VPRandy KeelerMartin Marietta
SecretaryJohn Levin.....GlaxoSmithKline
Treasurer.....Kathy GreenJefferson Wells
Past President.....Rick Hudon.....Blue Cross & Blue Shield
DirectorSusan BurchRTI
DirectorStephen PinerBB&T
DirectorBob HullREX Healthcare
WebmasterStacy Thomas.....BB&T
Nominations Chair.....Chris GarrissUNC
Audit ChairMichael BobbittJefferson Wells

As of May 2006 our chapter rosters grew to 250+ members.

The Board continued its goal of providing 20 continuing education hours to our membership at no cost. A total of 29 hours of training events was scheduled and included the following topics:

- May 3, 2006** **Common Vulnerability Scoring System (CVSS)**
Presenter: Gavin Read and Mike Scheck – CISCO Systems
CE Hours: 3
- April 5, 2006** **Penetration & Vulnerability Hacking & Testing**
Presenter: Gary Hull - Director of Disaster Recovery, Quintiles
CE Hours: 3
- March 1, 2006** **Identity Theft**
Presenter: Jeremy Taylor and Matt Thompson - Grant-Thornton Co.
CE Hours: 3
- February 1, 2006** **Security Auditing of Oracle Databases**
Presenter: Chris Cramer, Ph.D. - Duke University
CE Hours: 3
- January 4, 2006** **Vulnerability Assessment and Information Assurance**
Presenters: Bill Chu, Ph.D. – UNC-Charlotte
CE Hours: 3
- December 7, 2005** **How to Audit DB2**
Presenter: Stuart Henderson
CE Hours: 8
- October 5, 2005** **FBI Program for Computer Crimes**
Presenter: Doris Gardner
CE Hours: 3
- September 7, 2005** **The Great Identity Crisis in Business Computing**
Presenter: Peter Luscko, Territory Manager, Imprivata, Inc.
CE Hours: 3
-

2006-2007

The chapter officers were:

President	Keith Garrett	BB&T
First VP	Chris Garriss	UNC
Second VP	Randy Keeler	Martin Marietta
Secretary	Lisa Mueller	BB&T
Treasurer	Kathy Green	Jefferson Wells
Past President.....	Rick Hudon	BCBSNC
Director	Wes Bjorklund	BB&T
Director	John Levin	Glaxo-Smith Cline
Director	Bob Hull	REX Healthcare
Webmaster	Rick Hudon	BCBSNC
Nominations Chair.....	Chris Garriss	UNC
Audit Chair	Kathy Green	Jefferson Wells

As of May 2007 our chapter rosters grew to 325+ members.

The Board continued its goal of providing 20+ continuing education hours to our membership at no cost. A total of 24 hours of training events was scheduled and included the following topics:

- Sept. 6, 2006** **A Practical Approach to SOX IT Audits**
Presenter: Kathy Green, Bruce Caughran; Jefferson Wells Corp.
CE Hours: 3
- Oct. 4, 2006** **Organizational/Personnel Security**
Presenter: Sandy Bacik
CE Hours: 3
- Nov. 1, 2006** **VOIP - Risks and Securing**
Presenter: Kent Cunningham
CE Hours: 3
- Jan 3, 2007** **Regulatory Haystack**
Presenter: Taiye Lambo, eFortresses
CE Hours: 3
- Feb 7, 2007** **Database Auditing for Security & Compliance**
Presenter: Matt McGivern, Protiviti
CE Hours: 3
- Mar 7, 2007** **Database Auditing for Security & Compliance**
Presenter: Dave Moore, DBI
CE Hours: 3
- Apr. 4, 2007** **Protecting What is Yours: Methods for Securing Data
in Today's Business Environment**
Presenter: Grant Thorton
CE Hours: 3
- May 2, 2007** **Application Security and Controls Framework**
Presenter: Nicky Tiesenga, IBM Global Business Services
CE Hours: 3

2007-2008

The chapter officers were:

PresidentChris GarrissUNC
First VP.....Lisa MuellerBB&T
Second VPKathy GreenJefferson Wells
SecretaryRandy KeelerMartin Marietta
Treasurer.....George Holland.....Cherry, Bekaert & Holland, L.L.P.
Past President.....Keith GarrettBB&T
DirectorWes BjorklundBB&T
DirectorJohn LevinGlaxo-Smith Cline
DirectorBob HullREX Healthcare
WebmasterRick HudonBCBSNC
CertificationSrini Kolathur

As of May 2007 our chapter rosters grew to 402 members. The Chapter provided 42 hours of continuing education opportunities to its membership.

The Board continued its goal of providing 20+ continuing education hours to our membership at no cost. A total of 26 hours of training events was scheduled and included the following topics:

- Sept. 14, 2007** **Active Directory**
Presenter: Derek Melber.
CE Hours: 7
- Oct. 3, 2007** **Fraud Detection/Bendord's Law**
Presenter: John Reisch, ECU Professor
CE Hours: 3
- Nov. 7, 2007** **Storage Area Networks**
Presenter: KPMG
CE Hours: 3
- Dec 5, 2007** **Cyber Crime**
Presenter: FBI
CE Hours: 3
- Jan 7, 2008** **Integrated Auditing Concepts**
Presenter: Joint breakfast session with the IIA
CE Hours: 1
- Feb 6, 2008** **Off-shoring**
Presenter: Grant-Thorton
CE Hours: 3
- Mar 5, 2008** **Auditing publicly accessible Unix servers/services**
Presenter: Chris Cramer
CE Hours: 3
- Apr. 4, 2008** **Computer Forensics**
Presenter: Grant Thorton
CE Hours: 3

The Chapter also provided an additional 16 continuing education hours at a low cost to our membership (\$50):

- June 3-4, 2008** **Web Application Security**
Presenter: CanAudit
CE Hours: 16

2008-2009 The chapter officers were:

President Chris Garriss UNC
First VP Lisa Mueller Progress Energy
Second VP Kathy Green Jefferson Wells
Secretary Randy Keeler Martin Marietta
Treasurer George Holland Cherry, Bekaert & Holland, L.L.P.
Past President Keith Garrett BB&T
Director Wes Bjorklund BB&T
Director John Levin Glaxo-Smith Cline
Director Bob Hull REX Healthcare
Director Srinivas Saraswatula

The following members were nominated and served on the Board in the following capacities:

Facilities Coordinator Jim Tarantino
Webmaster Rick Hudon BCBSNC
Webmaster Srinivas Saraswatula
Certification Sriniv Kolathur

As of June 2008 our chapter rosters grew to 424 members.

The Alston Memorial Scholarship was awarded to the following recipients:

Kaitlyn Hull (*Bob Hull*) attending North Carolina State University

Kristine Hull (*Bob Hull*) attending Meredith College

The Board continued its goal of providing 20+ continuing education hours at no or low cost to our membership. A total of 36 hours of training events was scheduled and included the following topics:

Sep. 10, 2008 **Software Security, Logic & Quality**

Presenter: Cigital Inc.

CE Hours: 3

Oct. 1, 2008 **Using Group Policy with Windows Active Directory**

Presenter: Derek Melber

CE Hours: 8 (\$30 for members)

Nov. 5, 2008 **IT Compliance Programs**

Presenter: Sandy Bacik

CE Hours: 3

Nov. 11, 2008 **Procurement Fraud (Joint Meeting w/IIA)**

Presenter: Joint breakfast session with the IIA

CE Hours: 1

Dec. 3, 2008 **IT Governance/IT Risks & Compliance**

Presenter: IT Governance – Nicky Tiesenga

Presenter: IT Risks & Compliance – Tom Lynn, Symantec

CE Hours: 3

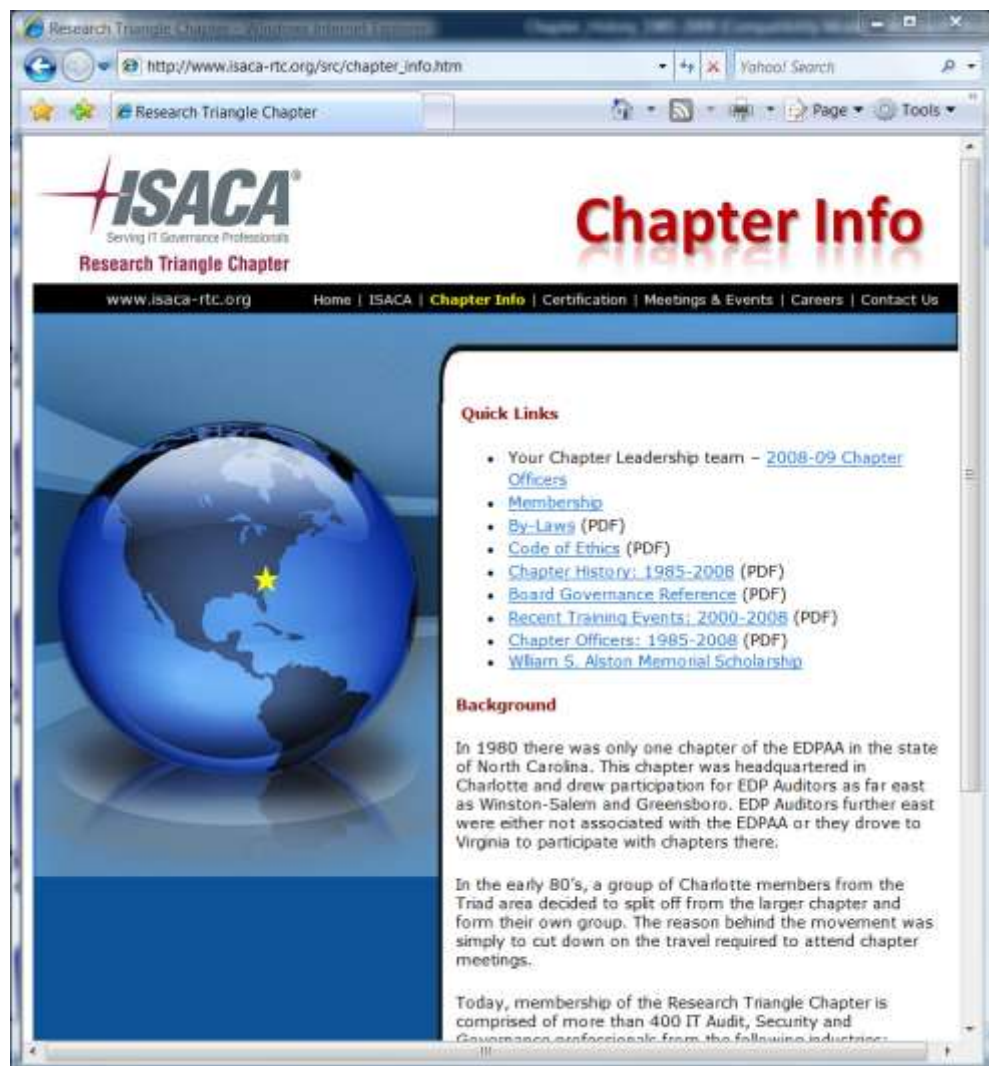
Jan. 7, 2009 **New Trends in Incident Response/Mgmt**

Presenter: Rob Seate

CE Hours: 3

- Feb. 4, 2009** **Enhancing the Privacy and Security of Your Information with True Security Awareness**
Presenter: Jim Sorrell
CE Hours: 3
- Mar. 4, 2009** **Data Flow Analysis and Classification**
Presenter: Gavin Mead
CE Hours: 3
- Apr. 1, 2009** **Keeping It Real: Strategies for Advancing Data Security In Challenging Times**
Presenter: Michael (Mac) H. McMillan, Chief Executive Officer; CynergisTek, Inc.
CE Hours: 3
- May 6, 2009** **Introduction to XBRL (Extensible Business Reporting Language)**
Presenters: Andrew D. Thrasher & Brian Butte, PriceWaterhouseCoopers LLP
CE Hours: 3
- June 17, 2009** **A Journey In Information Systems Controls Using COBIT**
Presenters: Nicky Tiesenga, Marty King, Rick Hudon
CE Hours: 3

In April 2009, the Research Triangle Chapter was recognized with the silver award for an ISACA chapter website.



2009-2010 The Annual Meeting and Election of Officers were held following the May 6, 2009 training session. The officers for this chapter year were:

PresidentLisa MuellerProgress Energy
First VP.....Kathy GreenJefferson Wells
Second VPLillian SkinnerDixon Hughes
SecretaryBob Hull.....Rex Healthcare
Treasurer.....Leo Howell.....NC State University
Past President.....Chris GarrissUNC
DirectorSatya Maruvada
DirectorRandy KeelerMartin Marietta
DirectorRick HudonBCBSNC

The following candidates have volunteered to assist the Chapter and the new Board:

WebmasterSrinivas Saraswatula
Certification CoordinatorSrinivas Kolathur
Facilities CoordinatorJim Tarantino
Audit & NominationsLanny Dale, Patrick Girling, and
Diana Peters

As of June 2010 our chapter roster was 414 members;

The Board continued its goal of providing 20+ continuing education hours at no or low cost to our membership. A total of 28 hours of training events was scheduled and included the following topics:

- Sep. 10, 2010** **Server Virtualization - Security & Auditing**
Presenter: Greg Shields
CE Hours: 6
- Oct. 7, 2010** **Enterprise Risk Management (Joint Meeting w/IIA)**
Presenter: Jennifer MacKethan and Scott McKay
CE Hours: 4
- Nov. 4, 2010** **Securing Large Enterprise Databases**
Presenter: Vinay Bansal and Srin Kolathur
CE Hours: 2
- Nov. 4, 2010** **IT Audit – Current Trends**
Presenter: Derek Duval
CE Hours: 1
- Dec. 2, 2010** **Global Security - Protecting a Brave New World and The Building of a Converged CIRC**
Presenter: Roland Cloutier
CE Hours: 3

- Feb. 3, 2010** **Open Panel Forum – IT Audit and IT Security**
Presenter: Panel
CE Hours: 3
- Mar. 3, 2010** **Enterprise Patch Management**
Presenter: Nik Patronas
CE Hours: 3
- Apr. 7, 2010** **Audit Planning, Root Cause Analysis, Report Writing**
Presenter: Srini Kolathur, Lisa Mueller, Panel discussion
CE Hours: 3
- June 2, 2010** **BCP 101, “Business Continuity for Dummies” & Chapter General Meeting**
Presenters: Gary Hull
CE Hours: 3 (2/1)

The Alston Memorial Scholarship was awarded to the following recipients:

- Kristine Hull** (*Bob Hull*) attending Meredith College
Diana Kincer (*Diana Kincer*) attending School

The Chapter, led by the dedication and commitment from Srini Kolathur, hosted a Fall and Spring series of CISA review courses. Each low-cost series of comprised of three Saturdays focused exclusively on the essential areas covered in the Certified Information Systems Auditor Exam™. The review course covers the core sections and a series of sample exam questions that provides participants with a "feel" of the format and the types of questions encountered on the CISA exam. The correct answers of each question are also reviewed for a better understanding of expectations of the ISACA Certification Board. This intensive course is an ideal way to prepare for the exam. Participants gain valuable experience reviewing these core sections and answering sample exam questions with an experienced instructor while strengthening their skills and building confidence. Attendees could earn up to 15 CPE hours.

In April 2010, the Research Triangle Chapter was recognized with the silver award for an ISACA chapter website. The webpage was refreshed and bolstered by new (more cost-effective) event registration features thanks to the diligent efforts of Srinivas Saraswatula and Jim Tarantino.



2010-2011

The Annual Meeting and Election of Officers were held prior to the June 2, 2010 training session. The officers-elect for this chapter year are:

President	Kathy Green	Aviat Networks
First VP	Srinivas Saraswatula	Open Solutions, Inc
Second VP	Lillian Skinner	Dixon Hughes
Secretary	Matt Pswolsky	BB&T
Treasurer	Diane Kincer	
	Diana Peters	BB&T
Past President	Lisa Mueller	Progress Energy
Director	Chris Garriss	UNC
Director	Satya Maruvada	NC State University
Director	Phillip Swain	ABB, Inc.

The following members have volunteered to assist the Chapter and the new Board:

Webmaster	Srinivas Saraswatula
Certification Coordinator	Srini Kolathur
Facilities Coordinator	Jim Tarantino
Audit & Nominations	Matt Pswolsky (Nominations)

As of May 31, 2011 our chapter roster was 462 members;

The Board continued its goal of providing 20+ continuing education hours at no-charge or low-cost to our membership. A total of 28 training hours was scheduled and included the following topics:

- Oct. 6, 2010** **IT Governance, Risk and Compliance: Leveraging Tools to Enhance Program Effectiveness**
Presenter: Orson Lucas, KPMG
Checklist 2.0
Presenter: Srini Kolathur
CE Hours: 3

- Nov. 4, 2010** **Windows Security & Auditing – Analysis of Windows Event Logs**
Presenter: Derek Melber
CE Hours: 8

- Dec. 1, 2010** **Building a Comprehensive Security Architecture Framework**
Presenter: Mark Whitteker
CE Hours: 3

- Jan. 5, 2011** **RTI's ITIL Implementation**
Presenter: David Roseberry, Chris Stygles
CE Hours: 3

- Feb. 2, 2011** **Using Trust to Influence Risk Decisions and Analysis**
Presenter: Michael Menefee
CE Hours: 3

- Mar. 2, 2011** **Continuous Controls Monitoring with ACL**
Presenters: Mary Ann Tournay, Natasha DeKroon
CE Hours: 3

- Apr. 6, 2011** **Enabling the Risk management Function through GRC Technology**
Presenters: Michael Stanziale, Dan Casciano, Brian Stowe, Matt Silkey

Cisco CSIRT - Tools, Technology & Processes

Presenter: Gavin Reid, Martin Nystrom

CE Hours: 3

June 1, 2011

Social Engineering

Presenters: Lisa Mueller

CE Hours: 2

The Alston Memorial Scholarship was awarded to the following recipients:

Manny Laundron, Master of Information Systems at Virginia Tech.

Ashley Hudon (Rick Hudon) attending UNC Greensboro

The Research Triangle Chapter was recognized with the gold award for an ISACA chapter website.

The chapter announced the awarding of 9 new CISA, 5 new CISM and 1 new CGEIT members through the December 2010 exams. Of the 9 new CISA exam passers, 6 were part of the Fall 2010 CISA review course. Attendees could earn up to 15 CPE hours.

2011-2012

The Annual Meeting and Election of Officers were held prior to the June 1, 2011 training session. The officers-elect for this chapter year are:

President	Rick Hudon	BCBSNC
First VP	Srinivas Saraswatula	Open Solutions, Inc
Second VP	Satya Maruvada	PwC
Secretary	Joanna Rojas	Duke
Treasurer	Diana Peters	BB&T
Past President.....	Kathy Green	
Director	Chris Garriss	UNC
Director	Keith Garrett*	BB&T
Director	Mike Burch	Office of the State Auditor

**Matt Pswolsky was elected as Director, however, he provided his resignation following a relocation out of the area. Matt suggested Keith as an alternate, appointed to the Board by the Chapter President with Board concurrence.*

The following members have volunteered to assist the Chapter and the new Board:

Webmasters	Jim Tarantino
	Srinivas Saraswatula
Certification Coordinator	Srini Kolathur
	Alan Roth
Facilities Coordinator	Susan Burch
Audit & Nominations	Chris Garriss (Nominations)
Research Directors (NEW!).....	Candace Smith
	Tia Shoemaker

As of May 4, 2012 our chapter roster was 474 members;

The Board continued its goal of providing 20+ continuing education hours at no-charge or low-cost to our membership. A total of 37 training hours was scheduled and included the following topics:

- Sep. 7, 2011** **Emerging Risks and Requirements in Information Privacy and Security**
Presenter: Elizabeth Johnson, Partner, Poyner & Spruill
Web Based Malware: Threat Landscape
Presenter: Dennis Pike, Blue Coat Systems
CE Hours: 3
- Oct. 5, 2011** **Enhancing Security By Leveraging The Contract Negotiation Process**
Presenter: Ramon Padilla, Deputy Chief Information Officer, UNC Chapel Hill
Understanding and Managing IT Operations and Service Delivery Risk
Presenter: Jeff Merrill, Director, ITIL/Change Management, UNC Chapel Hill
CE Hours: 3
- Nov. 2, 2011** **Creating an End-to-End Identity Management Architecture**
Presenter: Jeff Crume, Distinguished Engineer, IT Security Architect, IBM
CE Hours: 3
- Dec. 7, 2011** **Latest Trends in Information Security & Vulnerability Management**
Presenter(s): Bob Scalise, Sr. Manager, Advisory Services, Ernst & Young LLP
Sean Adey, Sr. Manager, IT Advisory Services, Ernts & Young LLP
Jeff Carter, Sr. Manager, Advanced Security Center, Ernst & Young LLP
CE Hours: 3

- Jan. 4, 2012** **Control And Security of Web Applications**
Presenter: Kevin Nibler, Sr. Manager, Security & Services, Canaudit
CE Hours: 8
- Feb. 1, 2012** **Progression of a hack**
Presenter: Ryan Linn, Sr. Security Consultant, TrustWave SpiderLabs
FedRAMP & FISMA
Presenter: Valdez Ladd, CISSP, CISA, COBIT-F, ITIL-F v3
CE Hours: 3
- Mar. 7, 2012** **GRC Alphabet Soup & Interoffice Communication Skills for Auditors**
Presenter: Danny Goldberg, Sunera
CE Hours: 8
- Apr. 4, 2012** **Risk and Controls 101**
Presenters: Rick Hudon
CE Hours: 3
- May 2, 2012** **Trends & Challenges in Healthcare IT Security, Compliance & Audit**
Presenters: Mark Phillips, Jacqueline Gillie-Buntyn, Marjorie Ford, Joan Podleski
and Judy Baker
CE Hours: 3

The Alston Memorial Scholarship was awarded to the following recipients:

Ashley Hudon (Rick Hudon) attending University of North Carolina at Greensboro
Tyler Whitley (Sharon Whitley) attending NC State University

The Research Triangle Chapter was recognized with the silver award for an ISACA chapter website.

The chapter provided two series of certification review sessions. The fall session was attended by 18 professionals who received a combined total of 257 CE hours. A total of 13 professionals have registered for the spring training series.

2012-2013

The Annual Meeting and Election of Officers were held prior to the May 2, 2012 training session. The officers-elect for this chapter year are:

President	Srinivas Saraswatula	Open Solutions, Inc
First VP	Michelle Wicker.....	OIG Postal Services
Second VP	Chris Garriss	UNC
Secretary	Joanna Rojas	Duke
Treasurer.....	Diana Peters	BB&T
Past President.....	Rick Hudon	BCBSNC/Topaz Shared Services
Director	Chris Garriss	UNC
Director	Scott Hanchett	Fidelity
Director	Michael Burch.....	Office of the State Auditor